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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/748,813

12/29/2003

Ming-Fang Tsai

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EXAMINER

ALMATRAHI, FARIS S

ART UNIT

PAPER NUMBER

3627

MAIL DATE

DELIVERY MODE

04/16/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

10/748,813

**Applicant(s)**

TSAI, MING-FANG

**Examiner**

FARIS ALMATRAHI

**Art Unit**

3627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 January 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-946)
- 3) ☐ Information Disclosure Statement(s) (PTO/SE/US)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Status of the Application*

1. This action is in reply to applicant amendment filed January 9, 2008.
2. Claims 1, 3-5, and 10 have been amended.
3. Claims 1-11 are pending in this application.

### *Claim Rejections - 35 USC § 102*

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. **Claims 1-11** are rejected under 35 U.S.C. 102(b) as being anticipated by Wong (US Pat No. 6,115,690).
6. Regarding claims 1-2, 5, 7, Wong discloses a shipment management system and method comprising:
  - Warehousing any one or more of work-in-process products, semi-finished products, finished products, and sales return products (Figure 3, Figure 5A, Figure 25A, Figure 64, Column 4 lines 16-29, Column 24 lines 16-36).
  - Determining clients to which products are shipped and shipping dates, and generating shipment sheets according to sales orders provided by at least

- one external system (Figure 3, Figure 61A, Column 4 lines 6-52, Column 24 lines 3-15, Column 25 lines 8-18).
- Collecting shipment sheets, generating an accumulative shipment sheet for each client, generating detailed data on the accumulative shipment sheet, and arranging for packing of products to be shipped (Figure 61A, Figure 84, Figure 65C, Figure 94, Column 33 lines 33-38, Claim 10).
  - Confirming shipment according to detailed data on each accumulative shipment sheet (Figure 61A, Column 18 lines 50-61, Column 24 lines 3-15).
  - Transmitting the confirmation of shipment to said external system (Figures 2-3, Column 14 lines 49-52, Column 24 lines 12-15).
  - A database for storing basic data and shipment reports (Abstract, Column 32 lines 1-25).
  - A plurality of client computers for providing interactive user interfaces for users to query the basic data and the shipment reports (Figure 3, Column 29 lines 6-16, Column 4 lines 36-52).
7. Regarding claims 3 and 6, Wong discloses a shipment management system and method further comprising receiving basic data (Column 32 lines 1-25).
8. Regarding claims 4 and 11, Wong discloses a shipment management system and method further comprising generating one or more shipment reports (Column 4 lines 36-52).

9. Regarding claim 8, Wong discloses a shipment management method wherein the step of warehousing any one or more of work-in-process products, semi-finished products, finished products, and sales return products comprises the step of obtaining data on the sales return products from said external system according to a sales return notification provided by said external system (Column 12 lines 21-31, Column 15 lines 54-66, Claim 10).

10. Regarding claim 9, Wong discloses a shipment management method wherein the step of warehousing any one or more of work-in-process products, semi-finished products, finished products, and sales return products comprises the step of warehousing the sales return products that are regarded as finished products, and generating detailed data on the sales return products (Column 12 lines 21-31, Column 15 lines 54-66, Claim 10).

11. Regarding claim 10, Wong discloses a shipment management method wherein the step of determining clients to which products are shipped and shipping dates, and generating shipment sheets according to sales orders provided by at least one external system comprises modifying the shipping dates, and generating new shipment sheets according to the modified shipping dates (Figure 9A, Column 31 lines 12-18).

### ***Response to Arguments***

12. Applicant's arguments filed on January 9, 2008 have been fully considered but they are not persuasive.

13. Regarding Applicants arguments that *Wong fails to suggest a product warehousing module for warehousing products, generating data on the warehoused products, and transmitting the data on the warehoused products to at least one external system*. Examiner takes into account broadest interpretation of the recited limitation in the instant application. The limitations as currently recited are anticipated by Wong. Figure 64 of the Wong reference disclose Inhouse and existing inventory which reads on warehousing products. Figure 64 also discloses information display which reads on generating data on the warehoused products, and transmitting that information to PSRI output Display reads on transmitting the data on the warehoused products to at least one external system.

14. Regarding Applicants arguments that *Wong fails to suggest collecting the shipment sheets, generating an accumulative shipment sheet for each client, generating detailed data on the accumulative shipment sheet, and arranging for packing of products to be shipped*. Examiner takes into account broadest interpretation of the recited limitation in the instant application. The limitations as currently recited are anticipated by Wong. Figure 94 discloses adding multiple invoices which reads on Applicants argument of accumulating shipment sheets relating to each client to generate an accumulative shipment sheet for each client.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Faris Almatrahi whose telephone number is (571)270-3326. The examiner can normally be reached on Monday to Friday 9:00 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ryan Zeender can be reached on (571) 272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/F. Ryan Zeender/  
Supervisory Patent Examiner, Art Unit 3627

/Faris Almatrahi/  
Examiner, Art Unit 3627

FA